

ROBERTSON STEPHENS®

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FORM ADV PART 2B BROCHURE SUPPLEMENT

This brochure supplement provides information about Mary Alpers that supplements the Robertson Stephens Wealth Management, LLC brochure. You should have received a copy of that brochure. Contact us at 415-500-6835 if you did not receive Robertson Stephens Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mary Alpers (CRD # 4777789) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Mary Alpers, CFP®, EA

Year of Birth: 1955

Formal Education After High School:

- Golden Gate University, MBA Corporate Finance, 1987
- California State University, Fullerton, BA English Literature, 1977

Business Background:

- Robertson Stephens Wealth Management, LLC, Principal, Wealth Manager, 5/2024 - Present
- Three Points Financial, Inc. fka Alpers Financial Planning, Inc., President, CCO, 10/2021 - 5/2024
- Alpers Financial Planning, Inc., President, 1/2012 - 9/2021
- Alpers and Associates, Sole Proprietor, 7/2001 - 1/2012

Certifications: **CFP®, EA**

CERTIFIED FINANCIAL PLANNER™ Professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

Education – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.

Examination – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.

Experience – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

Ethics – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

Ethics – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning.

CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client. **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

IRS Enrolled Agent

An Enrolled Agent (EA) is a federally authorized tax practitioner who has technical expertise in the field of taxation and who is empowered by the U.S. Department of the Treasury to represent taxpayers before all administrative levels of the Internal Revenue Service for audits, collections, and appeals. "Enrolled" means to be licensed to practice by the federal government, and "Agent" means authorized to appear in the place of the taxpayer at the IRS. Only Enrolled Agents, attorneys, and CPAs may represent any taxpayer before the IRS. The Enrolled Agent profession dates back to 1884 when, after questionable claims had been presented for Civil War losses, Congress acted to regulate persons who represented citizens in their dealings with the U.S. Treasury Department. The license is earned in one of two ways: by passing a comprehensive examination which covers all aspects of the tax code, or having worked at the IRS for five years in a position which regularly interpreted and applied the tax code and its regulations. All candidates are subjected to a rigorous background check conducted by the IRS. In addition to the stringent testing and application process, the IRS requires Enrolled Agents to complete 72 hours of continuing professional education, reported every three years, to maintain their Enrolled Agent status. Because of the knowledge necessary to become an Enrolled Agent and the requirements to maintain the license, there are approximately 53,000 practicing Enrolled Agents. Unlike attorneys and CPAs, who may or may not choose to specialize in taxes, all Enrolled Agents specialize in taxation. Enrolled Agents receive their right to practice from the U.S. government (CPAs and attorneys are licensed by the states). Enrolled Agents are required to abide by the provisions of the Department of Treasury's Circular 230, which provides the regulations governing the practice of Enrolled Agents before the IRS.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Mary Alpers has no required disclosures under this item.

Item 4 Other Business Activities

Mary Alpers is not actively engaged in any other business or occupation (investment-related or otherwise) beyond her capacity as Investment Adviser Representative of Robertson Stephens Wealth Management, LLC. Moreover, Ms. Alpers does not receive any commissions, bonuses or other compensation based on the sale of securities, insurance or other investment products.

Item 5 Additional Compensation

Although some Robertson Stephens Wealth Management, LLC representatives and affiliates earn commissions on the sale of certain securities and insurance products, neither Robertson Stephens Wealth Management, LLC nor Ms. Alpers directly receives any commissions, bonuses or other compensation from the sale of such products. Mary Alpers does not receive any additional compensation beyond that received as an employee of Robertson Stephens Wealth Management, LLC.

Item 6 Supervision

Mary Alpers is supervised by Michael Curley, Chief Compliance Officer, through the monitoring, review and approval of advisor and account activity. Mr. Curley may be reached at (415) 500-6835 or at michael.curley@rscapital.com.